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# Approximation of acoustic black holes with finite element mixed formulations and artificial neural network correction terms

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## ABSTRACT

Wave propagation in elastodynamic problems in solids often requires fine computational meshes. In this work we propose to combine stabilized finite element methods (FEM) with an artificial neural network (ANN) correction term to solve such problems on coarse meshes. Irreducible and mixed velocity–stress formulations for the linear elasticity problem in the frequency domain are first presented and discretized using a variational multiscale FEM. A non-linear ANN correction term is then designed to be added to the FEM algebraic matrix system and produce accurate solutions when solving elastodynamics on coarse meshes. As a case study we consider acoustic black holes (ABHs) on structural elements with high aspect ratios such as beams and plates. ABHs are traps for flexural waves based on reducing the structural thickness according to a power-law profile at the end of a beam, or within a two-dimensional circular indentation in a plate. For the ABH to function properly, the thickness at the termination/center must be very small, which demands very fine computational meshes. The proposed strategy combining the stabilized FEM with the ANN correction allows us to accurately simulate the response of ABHs on coarse meshes for values of the ABH order and residual thickness outside the training test, as well as for different excitation frequencies.

## 1. Introduction

Under the infinitesimal strain assumption, linear elasticity can be studied using different sets of variables and formulations. It is most common to use the displacement as the unknown variable when working with the irreducible problem, or to work with displacement–stress or displacement–strain approaches for mixed formulations (see, e.g. [1–3]). Alternatively, velocity can also be considered instead of displacement for irreducible or mixed elasticity problems [4–7]. The latter are usually solved in the time domain, but by means of the time Fourier transform of the equations involved, the elasticity problem can be posed in the frequency domain. This is equivalent to assuming a harmonic time dependence of the problem variables. In the frequency domain, the number of variables is doubled, since their real and imaginary parts have to be considered [8,9].

In this work, we will use the mixed velocity–stress formulation and its irreducible counterpart for the velocity [10], in combination with an artificial neural network (ANN) strategy [11], to be able to work on coarse meshes with problems that normally need very fine meshes. The accurate numerical simulation of elastodynamics problems can in general be very expensive, requiring in some cases the use of GPU or supercomputing resources (see for instance [12,13]). Developing a methodology capable of reducing

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the cost of these numerical simulations to a fraction of its original cost while maintaining the accuracy is an important contribution to the field. In this work we achieve so by resorting to correction terms generated by artificial neural networks, which we apply to cheap coarse computational models. By *coarse* computational models we mean those in which the discretization size is close to that needed for reproducibility of the solution. In the wave type phenomena we are interested in, it is commonly accepted that at least 8 or 10 nodes per wavelength are needed to capture the behavior of the solution in space. Some problems, though, require a much larger number of points per wavelength to provide meaningful numerical results. As an example of such problems requiring fine meshes we will deal with wave propagation in acoustic black holes (ABHs) in beams and plates [14]. Let us discuss the three main aspects of the current work in more detail.

The selected velocity-stress formulation for linear elasticity in [10] has some advantages over the displacement-stress one for wave phenomena, because it directly gives us a mixed vector-tensor analogue of the well-known scalar-vector mixed wave equation in acoustics [15–17]. In contrast, a displacement-stress approach results in a second-order form for the displacements and a zero-order one for stresses, from which a first-order mixed wave equation cannot be recovered. The weak form of the velocity-stress problem admits two main possibilities, namely the primal and dual formulations, depending on whether the term containing the divergence of the stresses or the divergence of the velocities is integrated by parts. In the first case, more regularity is obtained for the velocity than for the stresses, while the opposite is true for the dual problem. Mixed formulations have a larger number of degrees of freedom per node than irreducible ones, but also show increased accuracy, which can be appreciated in the stresses convergence rate when using the dual formulation. However, sometimes the improvement is not only a matter of accuracy, but of being able or not to solve the problem. This happens in solid mechanics when there is *locking*, a numerical phenomenon often found when dealing with thin structures and caused by different mechanisms. In this paper we will show that, apart from its excellent numerical accuracy, the mixed formulation is crucial to circumvent a locking phenomenon that we have encountered when dealing with thin solids and high frequencies when the elasticity equations are written in the frequency domain.

The discretization of the variational elasticity problems in this work will be carried out using the finite element method (FEM). In general, the well-posedness of the problems at the continuum level is not inherited by their discretized counterparts. As far as the weak irreducible form of the problem is concerned, the Galerkin FEM usually provides stable and optimally convergent results, except for high frequencies, where the so-called pollution error may appear, as is the case with the Helmholtz equation [18–22]. However, this topic is outside the scope of this paper. On the other hand, for the discretization of the weak mixed form to be well posed, the finite elements must satisfy a discrete inf-sup condition that can be circumvented by resorting to stabilized formulations. The variational multiscale (VMS) stabilizing methods [23,24] will be adopted in this paper. VMS are based on dividing the unknowns of the problem into two different scales, a large one that can be solved by the finite element mesh and a smaller subgrid scale (SGS) that cannot be captured by the mesh. The idea is to approximate the SGS components in terms of the finite element unknown components inside the elements and at their boundaries to improve stability [22,25].

The second ingredient of this paper concerns the use of correction terms based on an ANN. As it will be explained later, the computation of wave motion in ABHs requires very fine meshes, so it would be interesting to make them coarser to reduce the computational cost. In [26] it was proposed to compare the solution of some benchmark problems on fine and coarse meshes and use them as training of an ANN to construct a correction term that could be applied to new simulations. With this term, valid solutions can be obtained with coarser meshes and therefore with a lower computational cost. To show the potential of the method, the case of static deflection of a beam with large deformations, the case of vortex shedding for an incompressible flow past a cylinder, and a simple fluid-structure interaction problem were considered. Subsequently, the ANN strategy for FEM computations was applied to the wave equation in mixed and irreducible forms, and in the time and frequency domains [11]. ANN correction terms will be designed here for the mixed and irreducible linear elasticity problems in the frequency domain. We would like to emphasize that we model correction terms, our model is not a surrogate model designed by solving the problem on fine meshes and then creating a reduced-order data driven model. This means in particular that the ANNs that we need to use are very shallow compared to those used by purely data driven models (see the discussion in [27]), and therefore with a reduced computational cost compared to these models. The correction we introduce can be applied to different models that can be considered coarse but for which a fine solution is available. It was applied to FEMs in [11] and to classical reduced order models based on proper orthogonal decomposition in [28]. The contribution of this paper in this respect is to extend it to the FEM approximation of linear elasticity in the frequency domain and to apply it to ABHs. Note that this implies training up to 18 variables in 3D (real and imaginary parts of the six stress components and the three velocity components), instead of the 2 variables that are trained in [11]. Furthermore, in this paper we also show that the formulation works when there are geometry changes involved, thus opening the door to use it in shape optimization problems.

The third part of this paper deals with the application of the stabilized FEM strategy for linear elasticity and the ANN correction terms to simulate bending wave propagation in ABHs. ABHs typically consist of wedge tips in beams or circular indentations in plates whose thickness decreases towards their termination (ABH beam) or center (ABH plate) following a power law profile (see e.g., [29–31]). When a bending wave enters an ABH, its velocity slows down, while its wavelength diminishes and the amplitude increases. In the ideal case of zero thickness at the ABH termination/center, it can be shown that the wave would take infinite time to reach there. Therefore, no reflection would occur and the structure would act as a *black hole* for bending waves. In practice, small imperfections in the thinner part of the ABH can totally ruin the effect, but placing a small viscoelastic damping layer there can lead to a very significant suppression of wave reflections and consequently of vibrations in the uniform regions of the beam/plate [32].

Solving wave propagation in ABHs with the FEM can be quite demanding, since the thickness of the termination/center must be very small and the wavelength is shortened in that region. This requires very fine FEM meshes, as the standard request of at least 8 to 10 nodes per wavelength must be met. Consequently, other numerical approaches have been applied to ABHs, mostly based

on the Rayleigh–Ritz method with different types of basis functions. Mexican hat wavelets [33] and Morlet wavelets [34] have been proposed for ABH beams, while 2D Daubechies wavelets [35] have been suggested for ABH plates. Very accurate results have also been obtained using Gaussian basis functions for ABH beams [36] and plates [37]. These approaches have proven to be very efficient for ABHs of simple geometries such as ring-shaped ABHs [38], double-leaf ABHs [39] or annular ABHs in cylinders [40], and even for periodic arrays of ABHs [41,42] intended for wave manipulation purposes like bandgap formation, focusing, negative refraction and bi-refraction, and collimation.

It is worth mentioning that commercial FEM software has already been applied to many ABH problems, but dealing with simplifying assumptions such as the Euler–Bernoulli theory for beams or the Kirchhoff–Love theory for plates (see e.g., [43–45]). However, if ABHs are to be embedded in complex structural components commonly found in industry (see e.g., [46]) there is a clear need to develop FEMs that can deal with them at a reasonable computational cost. This article presents a first step towards this goal by studying conventional ABHs but treating the full linear elasticity equations and using an ANN correction to obtain accurate results with fairly coarse meshes.

The paper is organized as follows. In Section 2, several formulations for the linear elasticity problem are described along with their variational counterparts and finite element approximations. In Section 3, the ANN correction term for the irreducible velocity and mixed velocity–stress FEM approximations is explained and implemented. Next, in Section 4, the stabilized FEM plus ANN strategy is applied to six numerical examples dealing with ABHs in structural elements with high geometrical aspect ratios such as beams and plates. Finally, the conclusions close the paper in Section 5.

## 2. Mixed and irreducible formulations of the linear elasticity problem

In this section we briefly present the irreducible and mixed approaches to the linear elasticity problem in strong and variational forms. We also present the stabilized FEM for solving the latter. We build on earlier work by some of the authors in [10], with some specific comments related to the problem at hand, and refer the reader to it for more details.

### 2.1. Boundary value differential problems in the frequency domain

Let us consider a spatial domain  $\Omega \subset \mathbb{R}^d$ , where d = 2, 3, with boundary  $\partial \Omega$ . We split  $\partial \Omega$  into two disjoint sets  $\Gamma_v$  and  $\Gamma_\sigma$ , where the boundary conditions corresponding to the velocity v and the normal component of the Cauchy stress tensor  $\sigma$  will be enforced, respectively.

The elastic fourth order constitutive tensor of the material will be denoted by C. In this work, we will consider linear elastic and isotropic materials, so C and its inverse are written as

$$C = \frac{E}{1+\nu}I_4 + \frac{\nu E}{(1+\nu)(1-2\nu)}I_2 \otimes I_2, \quad \text{and} \quad C^{-1} := \frac{1+\nu}{E}I_4 - \frac{\nu}{E}I_2 \otimes I_2, \quad (1)$$

where  $I_4$  is the fourth-rank identity tensor,  $I_2$  is the second-rank identity tensor, E is the Young modulus and v the Poisson ratio. In the case of ABHs, we shall need to introduce material damping; this will be accomplished by means of a complex Young's modulus,  $E' = E(1 + i\eta) \in \mathbb{C}$ , where  $\eta$  is the loss factor.

## 2.1.1. Irreducible form

The irreducible form of the problem in the frequency domain consists in finding  $v : \Omega \to \mathbb{C}^d$  such that

$$-\rho\omega^2 \boldsymbol{v} - \nabla \cdot \boldsymbol{C} : \nabla^S \boldsymbol{v} = \boldsymbol{f},\tag{2}$$

where  $\rho$  is the density,  $\omega$  is the angular frequency,  $\nabla^S$  is the symmetric gradient of a vector field and f is the force term. The boundary conditions on  $\Gamma_v$  and  $\Gamma_\sigma$  are given by

$$\boldsymbol{v} = \boldsymbol{0} \quad \text{on} \quad \boldsymbol{\Gamma}_{\boldsymbol{v}}, \qquad \boldsymbol{n} \cdot \boldsymbol{C} : \boldsymbol{\nabla}^{\mathrm{S}} \boldsymbol{v} = \boldsymbol{0} \quad \text{on} \quad \boldsymbol{\Gamma}_{\sigma}, \tag{3}$$

where n corresponds to the unit normal pointing outward from the domain.

## 2.1.2. Mixed form

The mixed form of the problem in the frequency domain is to find  $v : \Omega \to \mathbb{C}^d$  and  $\sigma : \Omega \to \mathbb{C}^d \otimes \mathbb{C}^d$  such that

$$-i\rho\omega \boldsymbol{v} - \nabla \cdot \boldsymbol{\sigma} = \boldsymbol{f},\tag{4}$$

$$-i\omega C^{-1}: \sigma - \nabla^{S} \boldsymbol{\nu} = \boldsymbol{0}, \tag{5}$$

with boundary conditions

$$\boldsymbol{\nu} = \boldsymbol{0} \quad \text{on} \quad \boldsymbol{\Gamma}_{\boldsymbol{\nu}}, \qquad \boldsymbol{n} \cdot \boldsymbol{\sigma} = \boldsymbol{0} \quad \text{on} \quad \boldsymbol{\Gamma}_{\boldsymbol{\sigma}}. \tag{6}$$

#### 2.2. Variational formulations in the frequency domain

Before presenting the variational formulation of the irreducible and mixed elasticity problems, let us introduce some notation.  $\mathfrak{O}$  will denote a subdomain of  $\mathfrak{O}$ ,  $L^2(\mathfrak{O})$  the space of square integrable functions in  $\mathfrak{O}$  (scalars, vectors or tensors),  $H^1(\mathfrak{O})$  the space of functions in  $L^2(\mathfrak{O})$  with derivatives in  $L^2(\mathfrak{O})$  and  $H(\operatorname{div}, \mathfrak{O})$  the space of vector or tensor functions with components and divergence in  $L^2(\mathfrak{O})$ . The inner product of two functions in  $L^2(\mathfrak{O})$  will be represented by  $(\cdot, \cdot)_{\mathfrak{O}}$ , with the notation simplification  $(\cdot, \cdot)_{\mathfrak{O}} \equiv (\cdot, \cdot)$ , whereas the integral of the product of any two ordinary or generalized functions will be designated as  $\langle \cdot, \cdot \rangle_{\mathfrak{O}}$ , again with  $\langle \cdot, \cdot \rangle_{\mathfrak{O}} \equiv \langle \cdot, \cdot \rangle$ . Let  $V_v$  and  $V_\sigma$  be the appropriate spaces for the velocity and the stresses, respectively, formed by complex-valued functions.  $\boldsymbol{w}$  will denote a test function in  $V_v$  and  $\eta$  a test function in  $V_{\sigma}$ .

## 2.2.1. Irreducible form

The variational form of the irreducible equation in Eq. (2) with boundary conditions in Eq. (3) is unique and obtained, as usual, multiplying Eq. (2) by a test function w, integrating over the domain  $\Omega$ , and then integrating by parts the second term of the equation considering the boundary terms in Eq. (3). Taking  $V_v = \{w \in H^1(\Omega)^d \mid w = 0 \text{ on } \Gamma_v\}$ , the problem is that of finding a complex valued  $v \in V_v$  such that

$$-\rho\omega^2(\boldsymbol{v},\boldsymbol{w}) + (\boldsymbol{C}:\nabla^S\boldsymbol{v},\nabla^S\boldsymbol{w}) = \langle \boldsymbol{f},\boldsymbol{w}\rangle,\tag{7}$$

for all  $w \in V_v$ , with the boundary conditions

v = 0, strongly imposed on  $\Gamma_v$ ,

$$\cdot \boldsymbol{C} : \nabla^{\boldsymbol{S}} \boldsymbol{v} = \boldsymbol{0}, \quad \text{weakly imposed on } \boldsymbol{\Gamma}_{\sigma}.$$

Note that the  $L^2(\Omega)$  inner product is not symmetric, but Hermitian, being defined as

$$(a,b) = \int_{\Omega} a\bar{b} \,\mathrm{d}\Omega$$

for any two functions a, b, where  $\overline{b}$  represents the complex conjugate of b.

#### 2.2.2. Mixed form: variational forms I and II

Let us start defining the bilinear form  $B([v, \sigma], [w, \eta]) = -\langle \nabla \cdot \sigma, w \rangle - \langle \nabla^{\cdot S} v, \eta \rangle$  and the linear form  $L([w, \eta]) = \langle f, w \rangle$ . The weak form of the mixed problem in Eqs. (4)–(5) with boundary conditions in Eq. (6) is not unique and depends on which term of  $B([v, \sigma], [w, \eta])$  is integrated by parts. This determines a different regularity for the velocity and stress unknowns.

The primal formulation will be called **variational form I** (VFI) and corresponds to integrating by parts the first term of  $B([v, \sigma], [w, \eta])$ . Note that the functional setting for the velocity in the VFI will be the same as for the irreducible variational form in Eq. (7). Defining the spaces  $V_v = \{w \in H^1(\Omega)^d \mid w = 0 \text{ on } \Gamma_v\}$  and  $V_\sigma = L^2(\Omega)^{d \times d}$  and considering  $v, w \in V_v$  and  $\sigma, \eta \in V_\sigma$ , we can rewrite  $B([v, \sigma], [w, \eta])$  as

$$B([\boldsymbol{v},\boldsymbol{\sigma}],[\boldsymbol{w},\boldsymbol{\eta}]) = (\boldsymbol{\sigma},\nabla^{S}\boldsymbol{w}) - (\nabla^{S}\boldsymbol{v},\boldsymbol{\eta}) - \langle \boldsymbol{n}\cdot\boldsymbol{\sigma},\boldsymbol{w}\rangle_{\Gamma_{\boldsymbol{\sigma}}}$$
$$=: B_{I}([\boldsymbol{v},\boldsymbol{\sigma}],[\boldsymbol{w},\boldsymbol{\eta}]) - \langle \boldsymbol{n}\cdot\boldsymbol{\sigma},\boldsymbol{w}\rangle_{\Gamma}.$$

The weak imposition of the boundary condition  $n \cdot \sigma = 0$  on  $\Gamma_{\sigma}$  allows us to obtain the VFI for the linear elasticity equations in Eqs. (4)–(5), supplemented by Eq. (6). This consists in finding  $v \in V_v$  and  $\sigma \in V_{\sigma}$  such that

$$-i\omega\rho(\boldsymbol{v},\boldsymbol{w}) - i\omega(\boldsymbol{C}^{-1}:\boldsymbol{\sigma},\boldsymbol{\eta}) + B_I([\boldsymbol{v},\boldsymbol{\sigma}],[\boldsymbol{w},\boldsymbol{\eta}]) = L([\boldsymbol{w},\boldsymbol{\eta}]), \quad \forall \ \boldsymbol{w} \in V_v, \boldsymbol{\eta} \in V_\sigma,$$
(8)

and with v = 0 prescribed strongly on  $\Gamma_v$ .

The dual formulation referred to as **variational form II** (VFII) corresponds to integrating by parts the second term of  $B([v, \sigma], [w, \eta])$ . Introducing the spaces  $V_v = L^2(\Omega)^d$  and  $V_\sigma = \{\eta \in H(\operatorname{div}, \Omega) \mid n \cdot \eta = 0 \text{ on } \Gamma_\sigma\}$  we rewrite  $B([v, \sigma], [w, \eta])$  as

$$B([\boldsymbol{v},\boldsymbol{\sigma}],[\boldsymbol{w},\boldsymbol{\eta}]) = -(\nabla \cdot \boldsymbol{\sigma},\boldsymbol{w}) + (\boldsymbol{v},\nabla \cdot \boldsymbol{\eta}) - \langle \boldsymbol{v},\boldsymbol{n} \cdot \boldsymbol{\eta} \rangle_{\Gamma_{\boldsymbol{v}}}$$
$$=: B_{II}([\boldsymbol{p},\boldsymbol{u}],[\boldsymbol{q},\boldsymbol{v}]) - \langle \boldsymbol{v},\boldsymbol{n} \cdot \boldsymbol{\eta} \rangle_{\Gamma}.$$

If we now impose v = 0 weakly on  $\Gamma_v$ , the VFII of the linear elasticity equations in Eqs. (4)–(5) with conditions in Eq. (6) reads: find  $v \in V_v$  and  $\sigma \in V_\sigma$  such that

$$-i\omega\rho(\boldsymbol{v},\boldsymbol{w}) - i\omega(\boldsymbol{C}^{-1}:\boldsymbol{\sigma},\boldsymbol{\eta}) + B_{II}([\boldsymbol{v},\boldsymbol{\sigma}],[\boldsymbol{w},\boldsymbol{\eta}]) = L([\boldsymbol{w},\boldsymbol{\eta}]), \quad \forall \ \boldsymbol{w} \in V_{v}, \boldsymbol{\eta} \in V_{\sigma}.$$

$$\tag{9}$$

with  $\mathbf{n} \cdot \boldsymbol{\sigma} = \mathbf{0}$  imposed strongly on  $\Gamma_{\boldsymbol{\sigma}}$ .

Note that VFI provides more regularity for the velocity than for the stresses, while the opposite is true for VFII.

#### 2.3. Finite element approximation

We assume that  $\Omega$  is a polyhedral domain and that  $\mathcal{T}_h = \{K\}$  is a partition of  $\Omega$  into finite elements K of characteristic size h. We consider the family of finite element partitions  $\{\mathcal{T}_h\}_{h>0}$  to be quasi-uniform. The  $L^2$  inner product inside an element K will be represented by  $(\cdot, \cdot)_K$  and by  $(\cdot, \cdot)_{\delta K}$  on its boundary  $\partial K$ . The conformal FEM spaces that approximate v and  $\sigma$  are denoted as  $V_{v,h} \subset V_v$  and  $V_{\sigma,h} \subset V_\sigma$ , respectively.

For the variational irreducible problem in Eq. (7), the standard Galerkin FEM will suffice for the problem at hand. However, for the variational mixed formulations VFI in Eq. (8) and VFII in Eq. (9), we will employ a stabilized FEM based on the VMS method [23,24,47] to circumvent the discrete inf-sup condition and use equal interpolation for the velocities and the stresses. In the VMS, we introduce SGS spaces for v and  $\sigma$ , namely,  $V'_v$  and  $V'_\sigma$ , which complement the finite element ones so that  $V_v = V_{v,h} \oplus V'_v$  and  $V_\sigma = V_{\sigma,h} \oplus V'_{\sigma}$ . The unknowns of the problem and their respective test functions are then split into a FEM part and a SGS part, such that  $v = v_h + v'$ ,  $w = w_h + w'$ ,  $\sigma = \sigma_h + \sigma'$  and  $\eta = \eta_h + \eta'$ . Hereafter, the prime will be used to denote SGS functions and SGS spaces. The key to the VMS is to find suitable approximations for the SGSs that stabilize and improve the Galerkin FEM solution.

## 2.3.1. Irreducible form

As already mentioned, for the variational irreducible problem we will work with frequencies low enough not to be affected by the pollution error. Therefore, the Galerkin FEM will produce the expected results with acceptable accuracy. The latter consists in finding  $v_h \in V_{v,h}$  such that

$$-\rho \omega^2 (\boldsymbol{v}_h, \boldsymbol{w}_h) + (\boldsymbol{C} : \nabla^S \boldsymbol{v}_h, \nabla^S \boldsymbol{w}_h) = \langle \boldsymbol{f}, \boldsymbol{w}_h \rangle, \qquad \forall \boldsymbol{w}_h \in V_{\upsilon,h}.$$
(10)

It is well known that this formulation displays pollution error when  $\omega$  is large. However, we will show that for moderate values of  $\omega$  this approach *locks* when approximated numerically if  $\Omega$  has one the dimensions much smaller that the others. We shall come back to this point in Section 4.

### 2.3.2. Mixed form

In this case, VMS stabilization must be used. Before that, let us introduce the differential operator  $\mathcal{L} = [\mathcal{L}_v, \mathcal{L}_\sigma]$  and its transpose  $\mathcal{L}^t = [\mathcal{L}_v^t, \mathcal{L}_\sigma^t]$ ,

$$\mathcal{L}_{v}([\boldsymbol{v}_{h},\boldsymbol{\sigma}_{h}]) = -i\rho\omega\boldsymbol{v}_{h} - \nabla\cdot\boldsymbol{\sigma}_{h}, \qquad \qquad \mathcal{L}_{\sigma}([\boldsymbol{v}_{h},\boldsymbol{\sigma}_{h}]) = -i\omega\boldsymbol{C}^{-1}:\boldsymbol{\sigma}_{h} - \nabla^{S}\boldsymbol{v}_{h}, \\ \mathcal{L}_{\sigma}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]) = -i\rho\omega\boldsymbol{w}_{h} + \nabla\cdot\boldsymbol{\eta}_{h}, \qquad \qquad \mathcal{L}_{\sigma}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]) = -i\omega\boldsymbol{C}^{-1}:\boldsymbol{\eta}_{h} + \nabla^{S}\boldsymbol{w}_{h}$$

These expressions allow us to write the differential equations of the mixed problem Eqs. (4)–(5) as  $\mathcal{L}([v_h, \sigma_h]) = [f, 0]$ . Note that the adjoint of  $\mathcal{L}$  is the complex conjugate of  $\mathcal{L}^t$ , although we will write the stabilized FEM formulation in terms of  $\mathcal{L}^t$ .

The procedure for obtaining the VMS-FEM approximations to the variational forms VFI and VFII will not be given here and the reader is referred to [10] for details (see also [47], among others). However, we will present the final stabilized VMS-FEM forms to highlight the differences between VFI and VFII.

Splitting the unknowns v and  $\sigma$  into their FEM and SGS components and integrating by parts the terms containing derivatives of the SGSs, the stabilized FEM formulation for the VFI involves finding  $v_h \in V_{v,h}$  and  $\sigma_h \in V_{\sigma,h}$  such that

$$-i\rho\omega(\boldsymbol{v}_{h},\boldsymbol{w}_{h}) - i\omega(\boldsymbol{C}^{-1}:\boldsymbol{\sigma}_{h},\boldsymbol{\eta}_{h}) + B_{I}([\boldsymbol{v}_{h},\boldsymbol{\sigma}_{h}],[\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]) + \sum_{K}(\boldsymbol{v}',\mathcal{L}_{v}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]))_{K} + \sum_{K}(\boldsymbol{\sigma}',\mathcal{L}_{\sigma}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]))_{K} = L([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]),$$
(11)

for all  $[\boldsymbol{w}_h, \boldsymbol{\eta}_h] \in V_{v,h} \times V_{\sigma,h}$ .

Likewise, the stabilized FEM formulation for VFII entails finding  $v_h \in V_{v,h}$  and  $\sigma_h \in V_{\sigma,h}$  such that

$$-i\rho\omega(\boldsymbol{v}_{h},\boldsymbol{w}_{h}) - i\omega(\boldsymbol{C}^{-1}:\boldsymbol{\sigma}_{h},\boldsymbol{\eta}_{h}) + \boldsymbol{B}_{II}([\boldsymbol{v}_{h},\boldsymbol{\sigma}_{h}],[\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]) + \sum_{K}(\boldsymbol{v}',\boldsymbol{\mathcal{L}}_{v}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]))_{K} + \sum_{K}(\boldsymbol{\sigma}',\boldsymbol{\mathcal{L}}_{\sigma}^{t}([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]))_{K} = L([\boldsymbol{w}_{h},\boldsymbol{\eta}_{h}]),$$
(12)

for all  $[\boldsymbol{w}_h, \boldsymbol{\eta}_h] \in V_{v,h} \times V_{\sigma,h}$ .

The velocity and stress SGSs v' and  $\sigma'$  in the interiors of the elements in Eqs. (11)–(12) are approximated respectively by,

$$\boldsymbol{v}'|_{K} = \tau_{v} \tilde{P}_{h} [\boldsymbol{f} - \mathcal{L}_{v} ([\boldsymbol{v}_{h}, \boldsymbol{\sigma}_{h}])]_{K}, \tag{13}$$

$$\boldsymbol{\sigma}'|_{K} = \tau_{\sigma} \tilde{P}_{h} [-\mathcal{L}_{\sigma} ([\boldsymbol{\nu}_{h}, \boldsymbol{\sigma}_{h}])]_{K}, \tag{14}$$

where  $\tau_v$  and  $\tau_\sigma$  are stabilization parameters (see [10] for their expressions) and two different options can be considered for the projection  $\tilde{P}_h$ . If the space of SGSs is chosen as the space of finite element residuals, then  $\tilde{P}_h = I$ , with *I* being the identity, and the stabilization approach is known as the algebraic subgrid scale (ASGS) method (see e.g., [48]). On the other hand, if the space of SGSs is considered to be orthogonal to the finite element space, the projection in Eqs. (13)–(14) becomes  $\tilde{P}_h = I - P_h$ , with  $P_h$  standing for the projection onto the finite element space. The resulting method is known as the orthogonal subgrid scale (OSGS) method (see e.g., [49]).

#### 3. A correction technique using artificial neural networks

As mentioned in the introduction, many problems, such as the bending motion of ABH beams and plates that we are concerned with in this paper, require fine computational meshes. Therefore, if a parametric analysis involving a large number of simulations is to be performed, the computational cost can become prohibitive. In this section, we adapt the ANN strategy in [11,26] to the current linear elasticity problem in irreducible and mixed forms. The key idea is to compute a set of solutions to variations of the original problem on fine meshes (e.g., by making changes to the geometry and physical parameters), and then use them to derive a correction term that improves the result of simulations on coarse meshes, which include variations not previously considered. The first phase is the training of the ANN and the second is the testing (or execution).

Let us first present the algebraic matrix systems for the discrete irreducible and mixed forms of the problem, and then explain how to compute the ANN correction term.

## 3.1. Algebraic structure of the irreducible and mixed elasticity problems

Suppose that we have either discretized the FEM problem in Eq. (10) or in Eqs. (11) and (12) using a coarse mesh. In all cases, the resulting linear algebraic matrix system will be of the type,

$$AU_{c} = F, (15)$$

where  $U_c \in \mathbb{C}^m$  is the unknown vector of nodal values and  $F \in \mathbb{C}^m$  is the force one. *m* is the product of the total number of nodes by the number of degrees of freedom of each node, i.e., the total number of degrees of freedom of the problem. The matrix of the system has dimensions  $m \times m$  and it is complex, i.e.,  $A \in \mathbb{C}^{m \times m}$ . Given F and A, the unknown vector  $U_c$  can be computed from Eq. (15) provided A is invertible. As mentioned above, our goal is to improve the accuracy of  $U_c$  by adding an ANN correction term to Eq. (15).

#### 3.1.1. Matrix system for the irreducible form

The matrices and vectors of Eq. (15) for the FEM discretization of the irreducible formulation in Eq. (10) are

$$\mathsf{A} = -\omega^2 \mathsf{M}_v - \mathsf{K}_v, \quad \mathsf{F} = \mathsf{F}_v, \quad \mathsf{U}_{\mathsf{c}} = \mathsf{v},$$

where  $M_v$  is the mass matrix that includes the density and  $K_v$  is the stiffness matrix, which contains the material properties, see Eq. (1).  $F_v$  is the force vector and v is the unknown vector containing all the degrees of freedom of the mesh nodes corresponding to  $v_h$ .

#### 3.1.2. Matrix system for the mixed forms VFI and VFII

To facilitate the identification of the entries in the algebraic matrix systems for VFI and VFII in Eqs. (11) and (12), let us explicitly write the stabilization terms of these equations instead of making use of the differential operators  $\mathcal{L}_v, \mathcal{L}_\sigma$ . The stabilization terms read,

$$\sum_{K} \tau_{v} (\tilde{P}_{h}[\boldsymbol{f} + i \,\omega \,\rho \,\boldsymbol{v}_{h} + \nabla \cdot \boldsymbol{\sigma}_{h}], i \,\omega \,\rho \,\boldsymbol{w}_{h} + \nabla \cdot \boldsymbol{\eta}_{h})_{K}$$
  
+ 
$$\sum_{K} \tau_{\sigma} (\tilde{P}_{h}[i \,\omega \,\boldsymbol{C}^{-1} : \boldsymbol{\sigma}_{h} + \nabla^{S} \boldsymbol{v}_{h}], i \,\omega \,\boldsymbol{C}^{-1} : \boldsymbol{\eta}_{h} + \nabla^{S} \boldsymbol{w}_{h})_{K}$$

On the other hand, let us introduce some notation before setting A,  $U_c$  and F in Eq. (15) for VFI and VFII. Again, v refers to the vector of unknown degrees of freedom for the velocity, while s denotes that for the stress  $\sigma_h$ . A is built from block matrices and a double subscript is used to indicate their position. That is, vv is a block matrix in the momentum equation that multiplies v, while  $v\sigma$  represents a block matrix in the momentum equation multiplying s. Analogously,  $\sigma v$  is a block matrix in the constitutive equation that multiplies s. As for the stabilization block matrices they are identified by a subscript  $\tau$  and either a superscript label 'asgs' designating a term that only appears in the ASGS method, or an equivalent superscript 'osgs' for the OSGS. Moreover,  $G_S$  is the matrix that arises from the symmetric gradient and D that from the divergence of a tensor tested with a vector function.

The matrices and vectors in Eq. (15) for the mixed formulation VFI in Eq. (11) are given by,

$$A = \begin{bmatrix} -i\omega M_{vv} + \omega^2 M_{\tau,vv}^{asgs} + S_{\tau,vv} & -i\omega M_{\tau,v\sigma}^{asgs} + i\omega S_{\tau,v\sigma}^{asgs} + G_S^{t} \\ -i\omega M_{\tau,\sigma v}^{asgs} + i\omega S_{\tau,\sigma v}^{asgs} - G_S & -i\omega M_{\sigma\sigma} + \omega^2 M_{\tau,\sigma\sigma}^{asgs} + S_{\tau,\sigma\sigma}^{t} \end{bmatrix},$$

$$F = \begin{bmatrix} f + f_{\tau,v}^{osgs}(v) \\ i\omega f_{\tau,\sigma}^{asgs} + f_{\tau,\sigma}^{osgs}(s) \end{bmatrix}, \qquad U_c = \begin{bmatrix} v \\ s \end{bmatrix},$$

$$(16)$$

while A for VFII in Eq. (12) becomes

$$\mathsf{A} = \begin{bmatrix} -\mathrm{i}\,\omega\,\mathsf{M}_{vv} + \omega^2\mathsf{M}_{\tau,vv}^{\mathrm{asgs}} + \mathsf{S}_{\tau,vv} & -\mathrm{i}\,\omega\,\mathsf{M}_{\tau,v\sigma}^{\mathrm{asgs}} + \mathrm{i}\,\omega\,\mathsf{S}_{\tau,v\sigma}^{\mathrm{asgs}} - \mathsf{D} \\ -\mathrm{i}\,\omega\,\mathsf{M}_{\tau,\sigma v}^{\mathrm{asgs}} + \mathrm{i}\,\omega\,\mathsf{S}_{\tau,\sigma v}^{\mathrm{asgs}} + \mathsf{D}^{\mathrm{t}} & -\mathrm{i}\,\omega\,\mathsf{M}_{\sigma\sigma} + \omega^2\mathsf{M}_{\tau,\sigma\sigma}^{\mathrm{asgs}} + \mathsf{S}_{\tau,\sigma\sigma} \end{bmatrix}$$

with F and  $U_c$  being the same as for VFI.

#### 3.2. Artificial neural network correction

Let us next solve our linear elasticity problem using a finer mesh than that of Eq. (15), which provides the solution  $U_f \in \mathbb{C}^M$  with  $M \gg m$ . We can construct a projection from the space to which the fine solution belongs to the space of the coarse solution,

$$\mathsf{P}_{\mathrm{fc}}:\mathbb{C}^{M}\longrightarrow\mathbb{C}^{m}.$$

This projection is essential for our ANN correction term since we assume that the best possible solution in the coarse mesh is given by  $U_c = P_{fc}(U_f)$ .

For a linear problem, it is easy to introduce a correction  $D_{ex} \in \mathbb{C}^m$  to Eq. (15) to obtain the solution  $P_{fc}(U_f)$ . We simply have to consider

$$AU_{c} + D_{ex} = F \quad \text{with} \quad D_{ex} = F - AP_{fc}(U_{f}), \tag{17}$$

which has solution  $U_c = P_{fc}(U_f)$ , provided A is invertible.

Obviously, if we need to solve a single problem, the procedure of Eq. (17) does not make sense since we already have  $U_f$ . However, as explained at the beginning of the section our interest is to solve variations of the original problem on coarse grids to save computational costs. The idea is to first solve some of them on fine meshes (ANN training) and then construct the correction terms to resolve new variations of the problem on coarse meshes (ANN testing). In the case of ABHs, these variations will include changes in the geometry of the computational domain, a possibility not considered in [11].

Let us next focus on the ANN training. Suppose that we have *N* variations of the original problem for which we have computed the fine solutions,  $U_{f,\alpha}$ ,  $\alpha = 1, ..., N$ , with each value of  $\alpha$  denoting a particular variation. The *uncorrected* coarse problem for each one is

$$A_{\alpha}U_{c,\alpha} = F_{\alpha}, \quad \alpha = 1, \dots, N.$$

Introducing the exact correction

$$\mathsf{D}_{\mathsf{ex},\alpha} = \mathsf{F}_{\alpha} - \mathsf{A}_{\alpha} \mathsf{P}_{\mathsf{fc}}(\mathsf{U}_{\mathsf{f},\alpha}), \quad \alpha = 1, \dots, N, \tag{18}$$

we obtain the corrected solution to the coarse problems

 $A_{\alpha}U_{c,\alpha} + D_{ex,\alpha} = F_{\alpha},$ (19)

given by  $U_{c,\alpha} = P_{fc}(U_{f,\alpha}), \forall \alpha = 1, ..., N.$ 

The set of solutions  $U_{c,\alpha} = P_{fc}(U_{f,\alpha})$  constitutes the *training set* and the associated variations,  $\{\alpha\}$ , are called the *training configurations*. The goal now is to design a correction term  $D \in \mathbb{C}^m$  that can improve the coarse solution for any variation not contemplated in the training configurations. That is, we are looking for a correction D such that the solution of

$$AU_c + D = F$$
,

improves that of Eq. (15). Our proposal for the correction term is that it only depends on the unknown solution, i.e.,  $D = D(U_c)$ , and that it has to be as "close" as possible to  $D_{ex,\alpha}$  from the training configurations. Therefore, the problem to be solved has the form

$$AU_{c} + D(U_{c}) = F.$$
<sup>(20)</sup>

Different options could be considered for  $D(U_c)$  (see e.g., [11]), and ours has been to use an ANN. The latter offers several advantages regarding, for example, the flexibility and stability to complete the training set to improve the correction term, with little computational effort (among others). The ANN aims to fit the inputs  $\{P_{fc}(U_{f,\alpha})\}_{\alpha=1}^{N}$  and the outputs  $\{D_{ex,\alpha}\}_{\alpha=1}^{N}$  given by Eq. (18). The ANN construction of  $D(U_c)$  consists of a highly nonlinear mapping

$$D: \mathbb{C}^m \longrightarrow \mathbb{C}^m$$
,

trained with the pairs  $\{P_{fc}(U_{f,\alpha}), D_{ex,\alpha}\}_{\alpha=1}^{N}$ . For the elasticity problem at hand, it has been sufficient to use simple network architectures, with only a few hidden layers and a few neurons per layer. We have considered feedforward architectures with backpropagation algorithms to compute weights and biases, with the sigmoid function being the choice for the activation function. Let us stress that our base model is a finite element one, only the correction being based on an ANN; this is what allows us to use shallow and simple architectures of the ANNs, much simpler than in cases in which the whole model is based on an ANN.

To apply ANNs to our problem we have to define three important factors: the input to the network, its output and the loss function. The input is the set  $\{P_{fc}(U_{f,\alpha})\}_{\alpha=1}^{N}$  but, since  $P_{fc}(U_{f,\alpha})$  is the vector of nodal values of a certain function  $u_{c,\alpha}(x)$  defined on the coarse mesh, we can introduce some simplifications in our FEM framework. For example, when trying to obtain the *j*th component of D, namely  $D_j$ , j = 1, ..., m, it makes sense to use only some components of  $P_{fc}(U_{f,\alpha})$ . In the present work we select those associated to the nodes connected to the one involving the *j*th degree of freedom. The influence of these neighboring nodes can be taken into account by including the derivatives of  $u_{c,\alpha}(x)$  as an input to the ANN. Note that the output of the network are precisely the  $D_j$  entries of D. As for the loss function of the ANN, which determines its weights and biases, it is constructed as

$$C = \sum_{\alpha=1}^{N} \|\mathsf{D}_{ex,\alpha} - \mathsf{D}(\mathsf{P}_{fc}(\mathsf{U}_{f,\alpha}))\|^{2}.$$
(21)



Fig. 1. 2D geometry of the ABH beam and coarse FEM mesh.

This makes explicit that the ANN is trained with the configurations for which the exact correction is known.

Once the correction term D has been built, we need to solve Eq. (20), which is strongly non-linear because of its dependence on  $U_c$ , for new variations in A and/or F (ANN testing). This can be done through the iterative strategy,

$$AU_{c}^{(k)} = F - D(U_{c}^{(k-1)}),$$
(22)

where the superscript k is the iteration counter. This simple approach has worked for our problem, but alternatives, such as the Newton–Raphson scheme, could have been used instead. Let us point out that the problem we are dealing with is linear, and therefore iterations are only required for the correction term. However, in the case of nonlinear problems, such as those that arise when the rheological behavior of the material is nonlinear, the iterative scheme in (22) can be coupled with that due to the linearization of the base FEM model.

## 4. Application: Acoustic black holes in beams and plates

## 4.1. General considerations

In the following we will apply the stabilized FEM formulation for the linear elasticity problem and the ANN correction term to calculate the bending motion in ABH beams and plates. The thickness profile of the classical ABH wedge of a beam, or that of a circular ABH indentation, is a power law,

$$h(r) = \frac{h_{\text{uni}} - h_0}{r_{\text{abh}}^m} |r|^m + h_0,$$
(23)

where  $h_0$  is the residual thickness at the end of the ABH beam (or at the center of the indentation for ABH plates),  $h_{uni}$  is the thickness of the uniform part of the beam (plate),  $r_{abh}$  is the length (radius) of the ABH and *m* its order. Note that  $h(r_{abh}) = h_{uni}$  and that  $h(0) = h_0$  (see Fig. 1 for a schematic of an ABH beam). When the ABH geometry is discretized using the FEM, the aspect ratio of the elements will be high, particularly when the height is  $h_0$ , since we mesh the ABH across the thickness with the same number of elements everywhere. However, our experience is that these aspect ratios are not high enough to yield ill conditioned matrices. In any case, we employ in our calculations direct solution methods.

We will train the ANN on fine meshes to obtain a good FEM solution when we vary a parameter of the ABH and simulate on coarser meshes. In particular, we will consider changing the ABH oder, *m*, its residual thickness,  $h_0$ , and the frequency of the incident wave. In all the examples we will work with the mixed formulation VFI, although an ANN training with the irreducible form will be also carried out for the ABH beam, for comparison purposes.

As far as the ANN is concerned, and as explained in Section 3.2, a simple architecture with a few hidden layers and few neurons per layer has been sufficient for our purposes. To avoid under and over-fitting, the parameters of the ANN network have been chosen so that architectures as simple as possible but which are capable of reproducing the complexities of the problem at hand are obtained. The number of layers and neurons will be specified below for each example. It is worth mentioning that one of the main difficulties we encountered with this type of training has been the sharp increase in the values of the variables when approaching an eigenvalue. Although the damping layer smooths them significantly, these large changes in solution values make ANN training challenging. However, as will be demonstrated in the results of the next subsections, good results have been obtained despite this problem.

The improvement of the coarse mesh solution with the ANN correction term has been evaluated by characterizing it with the percentage index,

$$I = \frac{E_c - E_t}{E_c} \times 100,$$
(24)

where  $E_c$  refers to the error of the solution computed on the coarse mesh compared to the solution on the fine mesh, and  $E_t$  refers to the error of the solution computed on the coarse mesh but using the correction term from the trained ANN. The errors have been computed for invariants under coordinate transformations. That is, the modulus has been used for the real and imaginary parts of the velocity independently, while the Frobenius norm and the trace have been calculated for the real and imaginary parts of the stress tensor. Note that from the error of the real and the imaginary parts one can compute the total error. However, giving the split results will allow one to note that both the real and imaginary components of the different quantities analyzed have a similar error level.

#### Table 1

Percentage improvement index I of Eq. (24) for the 2D ABH beam cases using the modulus of the real and imaginary parts of the velocity vector and the Frobenius norm and trace of the real and imaginary parts of the stress tensors.

	<i>m</i> training improvement mixed	<i>m</i> training improvement irreducible	<i>h</i> <sub>0</sub> training improvement mixed	<ul> <li>ω training</li> <li>improvement</li> <li>mixed</li> </ul>
$\ \Re\{v\}\ $	48.21%	70.83%	57.38%	45.36%
$  \Im\{v\}  $	61.91%	96.54%	71.33%	57.56%
$\ \Re\{\sigma\}\ _{F}$	80.61%	-	38.93%	82.43%
$\ \Im\{\sigma\}\ _{F}$	75.27%	-	51.58%	86.45%
$tr{\Re{\sigma}}$	78.88%	-	54.00%	79.12%
$\operatorname{tr}{\mathfrak{I}}{\sigma}$	74.74%	-	50.70%	85.17%

#### 4.2. Acoustic black hole at a beam termination

Typically, the Euler–Bernoulli theory is used when simulating bending wave motion in ABH beams (see e.g., [36,50,51], among many others), which results in a one dimensional (1D) problem. In this paper we deal with the full linear elasticity equations and the ABH beam could be simulated in 3D. However, to reduce the computational cost and to get a faster sensing when training the ANN, the ABH beam will be simulated as a 2D system. In Section 4.3, a full 3D model for the ABH plate will be presented.

The geometry of the 2D ABH beam along with its coarsest mesh (2160 linear elements) is shown in Fig. 1. The beam has a length of 0.6 m and its thickness in the uniform portion is  $h_{uni} = 0.005$  m. The size of the ABH wedge is  $r_{abh} = 0.3$  m and its residual thickness will be specified for each example below, as well as its order *m*. The beam has a density  $\rho_b = 1000 \text{ kg/m}^3$ , a Young modulus of  $E_b = 10 \times 10^9 \text{ N/m}^2$ , loss factor  $\eta_b = 0.3$  and Poisson's coefficient  $v_b = 0.28$ . As for the damping layer to dissipate vibrational energy, it is placed at the end of the ABH and has a length  $r_d = 0.15$  m and thickness  $h_d = 0.00025$  m. Its physical parameters are  $\rho_d = 1000 \text{ kg/m}^3$ ,  $E_d = 10 \times 10^9 \text{ N/m}^2$ ,  $\eta_d = 0.3$  and  $v_d = 0.28$ .

Regarding the boundary conditions, the left end of the ABH beam (red line in Fig. 1) is considered to be clamped, so all components of the velocity are set to zero. The right end is left free. A load is applied to the beam at x = 0.1 m (blue line in Fig. 1), which is imposed as a Dirichlet condition with value v = (0, -1) m/s. The frequency of the excitation, f (resp.  $\omega$ ) will be indicated in each example. The cut-on frequency of the ABH in this case is  $f_{\text{cut-on}} = 136.2$  Hz ( $\omega_{\text{cut-on}} = 855.5$  rad/s) so the ABH is expected to be effective for  $f \gtrsim 3f_{\text{cut-on}}$  ( $\omega \gtrsim 3\omega_{\text{cut-on}}$ ) see e.g., [37].

In what concerns the ANN correction, we have chosen the gradients of the velocity components as inputs to the ANN in all the 2D examples in this section for simplicity, leaving aside the stresses. However, we will see that as a result of the ANN correction, not only does the velocity field improve substantially, but also the stress tensor. Regarding the output of the ANN, the correcting term has been applied to all the equations.

In the next two subsections we will consider the training of the ANN for different values of the geometrical parameters m (Section 4.2.1) and  $h_0$  (Section 4.2.1) using fine meshes, and then the resulting correction term will be used for values of these parameters outside the training set. The possibility of having different geometrical configurations was not considered in [11]. The success of the correction procedure in this new situation indicates that it may be helpful when considering shape optimization problems.

## 4.2.1. ABH beam: training for the order m

As a first example we consider computing the vibration of an ABH beam with a given order *m* (see Eq. (23)), on a coarse mesh and for an angular frequency of excitation  $\omega = 4200$  rad/s and a residual thickness  $h_0 = 0.00025$ . For this, we need to train the ANN to construct the correction term computing the solution of the problem on fine meshes and using a training set for the *m*-parameter, which consists of *m* values ranging from m = 2.4 to m = 3 with steps  $\Delta m = 0.02$ . The fine mesh for the training phase has 34560 elements, while the coarse mesh for testing is 16 times smaller and has 2160 elements (see Fig. 1). A simple ANN with 3 layers and 3 neurons per layer has been used to build the correction term, with a learning momentum of 0.2. The number of maximum epochs has been set to 5000 and the maximum tolerated error is 1. The testing is performed for an ABH order of m = 2.61, which does not belong to the training set, and using the coarse mesh.

For completeness, the same example has been run for the irreducible formulation instead of VFI. The former is less accurate than the latter (see Fig. 2 and explanations below) and requires finer meshes to obtain similar results. Specifically, the fine mesh in this case has 138240 elements, while 8640 elements are used in the coarse mesh. As for the ANN, it has 3 layers with 4 neurons per layer, a learning momentum of 0.4, a maximum number of epochs of 2000 and a tolerance error of 1.

The percentage improvement index I in Eq. (24) for each problem variable when using the ANN correction for both the irreducible and mixed VFI forms is shown in Table 1. As observed in the first two columns of the table, the results of the problem improve significantly without exceptions. The values of I are satisfactory for the mixed formulation and remarkable for the irreducible one.

In Fig. 2, we show plots for the real and imaginary parts of the *y*-component of the velocity along the length of the beam for the trained, coarse and fine cases and using both the VFI formulation (Figs. 2a and b, top row) and the irreducible one (Figs. 2c and d, bottom row). It can be seen from the two top row plots for VFI that the effect of the ANN correction is noticeable; the trained solution provides a much closer solution to the fine one than the coarse solution with no ANN term. The trained solution matches



**Fig. 2.** Real (left) and imaginary (right) parts for the *y*-component of the velocity along the ABH length (*x* axis) at y = 0. Results of the ABH order *m* training computed with the mixed VFI formulation (top row, (a)–(b)) and the irreducible one (bottom row (c)–(d)).

#### Table 2

Computation times in percent with respect to the fine mesh solution for the ABH beam example when trained for the order m.

	Fine mesh	Coarse mesh	Training phase	Execution phase
Mixed formulation	100%	1.17%	105.24%	1.27%
Irreducible formulation	100%	4.56%	110.14%	5.20%

the fine one in amplitude and also in phase, capturing the rise in amplitude and the decrease in wavelength as the wave approaches the ABH termination. Regarding the irreducible formulation of the bottom row, it is observed that the coarse solution provides a really bad approximation to the fine solution for both the real and imaginary parts of the vertical component of the velocity. The trained solution clearly improves the real part of the coarse one (see Fig. 2c) and is almost identical to the fine solution for the imaginary part (see Fig. 2d).

Concerning the computational times of this example (see Table 2), and to make them independent of the available computational resources, let us consider that a simulation with a single set of parameter values on the fine mesh for VFI corresponds to 100% of the computational time. The same computation on the coarse mesh costs just 1.17%. On the other hand, the training phase with the ANN correction costs only 105.24%, while for the execution phase we have 1.27%. In the case of the irreducible form, and again taking as reference the simulation on the fine mesh (100% of the cost), we have a running time of 4.56% for the coarse mesh, 110.14% for the ANN training and 5.20% for the execution phase. This means that by investing more or less the time of a computation on the fine mesh (those in the training phase are only slightly longer) one can then perform a large number of computations on a coarse mesh in the execution phase with high accuracy and very reasonable computational costs (basically those of solving directly on a coarse mesh). Although different meshes are used for the following examples in Sections 4.2.2 and 4.2.3, the relative percentages of the computational times of the fine and coarse meshes and training and execution phases are very similar to these, so the above explanation applies to them as well.

#### 4.2.2. ABH beam: training for the residual thickness $h_0$

In this second case, the unknown parameter is the residual thickness  $h_0$ . Therefore, we need to train our model using ABHs with various values of  $h_0$  for fixed ABH order (we take the quadratic case m = 2) and an excitation angular frequency  $\omega = 4200$  rad/s. The training set for  $h_0$  ranges from  $h_0 = 0.00025$  m to  $h_0 = 0.001$  m at  $\Delta h_0 = 0.000025$  m intervals. The fine mesh for training is smaller than in the previous case and has 8640 elements, while the coarse mesh is the same (2160 elements). As for the ANN, it contains 3 layers with 4 neurons each, has a learning momentum of 0.2 and we have considered a maximum number of epochs of 5000 with a tolerance error of 1.



Fig. 3. Real (a) and imaginary (b) parts for the y-component of the velocity along the ABH length (x axis) at y = 0. Results of the residual thickness  $h_0$  training computed with the mixed VFI formulation.



Fig. 4. Real (a) and imaginary (b) parts for the y-component of the velocity along the ABH length (x axis) at y = 0. Results of the frequency  $\omega$  training computed with the mixed VFI formulation.

The execution phase to test the performance of the ANN correction has been run with  $h_0 = 0.00046$  m, which again does not belong to the training set. Hereafter we have only worked with the VFI formulation. The improvement index *I* for this example is shown in the third column of Table 1. Again, *I* is remarkable for the two norms and the traces.

In Fig. 3, we plot again the real and imaginary parts of the *y*-component of the velocity along the length of the ABH beam for the trained, coarse and fine cases. The trained solution markedly improves the coarse solution, which is out of phase with the fine solution and produces much larger amplitudes. For the imaginary part in Fig. 3b, it can be seen how the coarse solution presents an additional peak which is 180° out of phase with the correct solution at the ABH tip, while the trained solution follows the trend of fine one.

## 4.2.3. ABH beam: training for the excitation frequency

In this third example we set the order of the ABH to m = 2 and its residual thickness to  $h_0 = 0.00025$  m. The training set is the range of angular frequencies [3700, 4200] rad/s at intervals of  $\Delta \omega = 4$  rad/s. We have used the same fine and coarse meshes as in the previous example. The ANN has 3 layers with 4 neurons each, a learning momentum of 0.1, a maximum number of epochs of 10000 and an error tolerance of 1. For the execution phase we have used the frequency  $\omega = 4002$  rad/s, which is not in the training set.

The index *I* for this example is shown in the fourth column of Table 1. Again, the index for the two norms and for the traces exhibit a remarkable improvement without exception. In addition, and as for the two previous examples, in Fig. 4 we have plotted the real and imaginary parts of the vertical component of the velocity along the ABH beam for the trained, coarse and fine cases. Of all the examples, this is the one where the coarse case without the ANN correction gives a better solution, which is quite close to the fine solution for the real part of the velocity (see Fig. 4a). This is not so obvious for the imaginary part of the velocity (see Fig. 4b). However, in any case the coarse solution can compete with the trained one, whose results are much closer to the fine solution in terms of amplitude and wavelength.

## 4.3. Acoustic black hole indentation on a plate

As with ABH beams, most works in literature use simplified theories to deal with ABHs embedded in plates. The Kirchhoff–Love thin plate theory is widely employed (see e.g., [35,37,52]) since it allows dealing with a 2D rather than a 3D problem. However, and as mentioned before, full 3D simulations will be carried out in this section to characterize ABH plates.



(b) Scaled geometry of the yz plane.

Fig. 5. 3D geometry of the ABH plate and coarse FEM mesh.

The geometry of the ABH plate for the simulations in this section, as well as its coarser mesh are shown in Fig. 5. The ABH plate has dimensions  $L_x \times L_y = 0.9 \times 1 \text{ m}^2$  with a thickness  $h_{uni} = 0.005 \text{ m}$  in the uniform part. The ABH indentation has radius  $r_{abh} = 0.3 \text{ m}$  and it is placed at the center of the plate, where it has residual thickness  $h_0$  (to be specified in each example). The damping layer is squared with size  $L_d = 0.15 \text{ m}$  and thickness  $h_d = 0.00025 \text{ m}$ . The physical parameters of the ABH plate are as follows. It has density  $\rho_p = 1190 \text{ kg/m}^3$ , Young modulus  $E_p = 3.2 \times 10^9 \text{ N/m}^2$ , loss factor  $\eta_p = 0.005$  and Poisson's coefficient  $v_p = 0.3$ . For the damping layer we take  $\rho_d = 950 \text{ kg/m}^3$ ,  $E_d = 5 \times 10^9 \text{ N/m}^2$ ,  $\eta_d = 0.3$  and  $v_d = 0.3$ .

As for the boundary conditions, all sides of the plate are clamped (the velocity is imposed to be zero in all the directions). An excitation load is applied on the small pink area of Fig. 5(a) as a Dirichlet condition with value v = (0, 0, -1) m/s. Its frequency will be determined for each case study. The cut-on frequency of the ABH plate is  $f_{\text{cut-on}} = 143.3$  Hz ( $\omega_{\text{cut-on}} = 272$  rad/s), so again the ABH is expected to be effective for  $f \gtrsim 3f_{\text{cut-on}}$  ( $\omega \gtrsim 3\omega_{\text{cut-on}}$ ).

Concerning the ANN correction term, we have chosen the velocity components as ANN inputs in all 3D examples, again discarding the stresses. However, only ANN outputs that include stresses will be taken. Yet, the *z* components of the latter will be excluded due

#### Table 3

Percentage	improven	nent ind	lex I of	Eq. (24	) for	the 3I	) ABH	I beam	cases	using	the mo	odulus	of th	e real	and	imaginary	parts	of
the velocity	vector a	nd the	Frobeniu	ıs norm	and	trace of	of the	real a	nd ima	iginary	/ parts	of the	stress	s tenso	ors.			

	<i>m</i> training improvement mixed	<i>h</i> <sub>0</sub> training improvement mixed	<ul> <li>ω training</li> <li>improvement</li> <li>mixed</li> </ul>
$\ \Re\{v\}\ $	46.50%	56.79%	73.64%
$\ \Im\{v\}\ $	36.57%	61.87%	70.58%
$\ \Re\{\sigma\}\ _{\mathrm{F}}$	59.36%	14.04%	14.23%
$\ \Im\{\sigma\}\ _{\mathrm{F}}$	15.17%	8.84%	20.12%
$tr{\Re{\sigma}}$	70.60%	31.79%	31.07%
$tr{\Im{\sigma}}$	60.03%	16.07%	50.58%

to the poor resolution of the mesh in the z direction. As will be demonstrated, this particular configuration for the ANN correction term will improve all problem variables (velocities and stresses) in the execution phase, when solving the problem on coarse meshes.

Finally, it should be mentioned that it has not been possible to use the irreducible formulation to calculate the ABH plate vibration due to the occurrence of transverse shear locking effects (see e.g., [53–55], as well as the survey [56]). Therefore, only the mixed VFI formulation will be considered in the following examples. We have observed that this locking effect disappears using the mixed velocity–stress formulation employed in this paper, similarly to what happens using the mixed displacement–stress formulation first introduced in [57]. We believe that this is a very important point that justifies the use of this novel formulation, first introduced in [10]. Recall that we used direct methods to solve the final linear systems, and thus this locking is unrelated to the high aspect ratio of the elements but to the intrinsic slenderness of the ABH. Likewise, this locking is unrelated to the pollution error that is found at much higher frequencies (see [21,22] for VMS-type techniques applied to deal with this pollution error). In this sense, the term *high* frequencies refers in the following to frequencies for which the mixed formulation is needed. In our experience, we have found that this occurs at values as low as  $\omega = 50$  rad/s for this particular example. The reasons why we can term this phenomenon as *locking* and not any other type of numerical instability are the following:

- It is present using the irreducible formulation, not the mixed velocity-stress one. This indicates that it is not pollution error.
- When using the irreducible formulation, it does not disappear under mesh refinement.
- It disappears when the thickness of the plate increases. In bending problems as the one we are considering, this indicates that it is related to shear effects.
- It disappears when the frequency decreases. When several elements are used across the thickness, as in our case, it is known that no locking appears in plate problems using the full elasticity formulation, even if the thickness is very small.

#### 4.3.1. ABH plate: training for the order m

In this case, we proceed analogously to the first example for the ABH beam by training the ANN with different values of the ABH order, *m*, which varies from m = 2 to m = 3 at intervals of  $\Delta m = 0.02$ . For the training phase we used a mesh with 9152 elements, which is eight times finer than the coarse one for the execution phase that only has 1144 elements (see Fig. 5). An ANN model of 3 layers with 3 neurons each has been implemented, with a learning momentum of 0.7, a maximum number of epochs of 10000 and a tolerance error of 1. The angular frequency of excitation has been set to  $\omega = 900$  rad/s and the residual thickness to  $h_0 = 0.00025$  m.

The testing phase has been carried out for a value of m = 2.81, not belonging to the training set. The improvement index *I* of this example is shown in the first column of Table 3. All values are more than acceptable except for the Frobenius norm of the imaginary part of the stress tensor, which has a relatively lower value.

To better appreciate the effects of the ANN correction, in Figs. 6 a,b and c, the results for the *z*-component of the real part of the ABH plate velocity are plotted for the coarse, fine and trained cases. The trained solution is qualitatively closer to the fine one than the coarse solution without correction term. For completion, in Fig. 7, we have plotted the real and imaginary parts of the *z*-component of the velocity along the straight line joining the points (0, -0.5, 0) and (0, 0.5, 0) for the trained, coarse and fine cases. This line is of special interest because it passes through the point where the external load is applied and through the center of the ABH, so it is a good indicator of how the vibrations are concentrated inside the ABH. As can be seen in the figure and for the real part of the velocity, the trained solution is able to correct the significant phase error of the coarse one when compared to the fine solution. As for the imaginary part of the velocity, the trained solution greatly improves the amplitude error exhibited by the coarse solution.

With regard to the computational times of this example (see Table 4), we get very similar percentage results to those of the ABH beam examples. Taking as reference the computation on the fine mesh (100% of the computational time), we obtain 1.36% for the coarse mesh, 102.12% for the training phase and 1.42% for the execution phase. Therefore, with essentially the cost of a fine computation (the training phase is not much longer) at the beginning, we can ultimately perform several tests on a coarse mesh with high accuracy and very low computational cost (execution phase). The computational times in percent of the following examples in Sections 4.3.2 and 4.3.3 are very similar to these ones and will be not specified, this explanation also being valid for them.



Fig. 6. Magnitude of the real part the velocity vector in the top xy surface of the ABH plate. Results of the order m training for the ABH plate.



**Fig. 7.** Real (a) and imaginary (b) parts of the z-component of the velocity for the coarse, fine and trained cases over a line joining the points (0, -0.5, 0) and (0, 0.5, 0). Results of the order *m* training for the ABH plate.

Table 4	
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Computation times in percent	with respect to the f	ine mesh solution for th	ne ABH plate example when	trained for the order m
	Fine mesh	Coarse mesh	Training phase	Execution phase
Mixed formulation	100%	1.36%	102.12%	1.42%

## 4.3.2. ABH plate: training for the residual thickness $h_0$

As was done previously for the beam, we now focus on the residual thickness  $h_0$ . To this purpose we have proceeded analogously to the previous example for the ABH plate, using the same fine and coarse meshes. The ANN architecture is also the same, with a slight change in the learning momentum from 0.7 to 0.6. The excitation angular frequency has been set to  $\omega = 850$  rad/s and the ABH order to m = 2. The training set for  $h_0$  goes from  $h_0 = 0.00025$  m to  $h_0 = 0.001$  m at intervals of  $\Delta h_0 = 0.000025$  m.

In the execution phase we have worked with the value of  $h_0 = 0.00061$  m, which was not previously considered in the training set. Index *I* in this example is shown in the second column of Table 3. As observed, the improvement is noticeable for the norms of the real and imaginary parts of the velocity, but much weaker for the Frobenius norm and trace of the stress tensor.

In Figs. 8 a,b and c, the results for the norm of the real part of the velocity in the coarse, fine and trained cases are plotted in the *xy* plane, respectively. It can be qualitatively observed how the trained solution is closer to the fine one than the coarse solution, correcting for the amplitude of the oscillations at the center of the ABH. In Fig. 9, we plot the real and imaginary parts of the *z*-component of the velocity along the same line than in the previous example. In this figure it can be better observed how the trained solution clearly improves the amplitude of the oscillations within the ABH provided by the coarse solution.



Fig. 8. Magnitude of the real part the velocity vector in the top xy surface of the domain for the numerical example. Results of the residual thickness  $h_0$  training for the ABH plate.



Fig. 9. Real (a) and imaginary (b) parts of the z-component of the velocity for the coarse, fine and trained cases over a line joining the points (0, -0.5, 0) and (0, 0.5, 0). Results of the residual thickness  $h_0$  training for the ABH plate.

## 4.3.3. ABH plate: training for the excitation frequency

As a final example for the ABH plate we consider changing the excitation frequency as we did for the ABH beam. Once again, we use the same fine and coarse 3D meshes as in the previous cases. As for the ANN architecture, we have employed that of the first ABH plate example but with a maximum number of epochs of 1000. The residual thickness of the ABH plate has been set to  $h_0 = 0.00025$  m and the ABH order to m = 2. The training phase sweeps the excitation frequency from  $\omega = 700$  rad/s to  $\omega = 1200$  rad/s at intervals of 10 rad/s.

The execution phase was launched with a frequency of  $\omega = 835 \text{ rad/s}$ , which does not appear in the training set. The improvement index *I* for this case is found in the third column of Table 3. Its values are similar to those found when training for the residual thickness  $h_0$ , with remarkable improvement in the real and imaginary parts of the velocity norms and the stress tensor traces. The indices based on the Frobenius norm are not as high as the others, but they are also positive.

In Figs. 10 a,b and c, we plot again the norm of the real part of the velocity in the coarse, fine and trained cases, respectively. As can be seen, the trained solution is much closer to the fine one than to the coarse one, strongly correcting the excessive amplitude of the latter. This is very clearly observed in Fig. 11, which represents the real and imaginary parts of the *z*-velocity component on the same straight line as the previous examples. The effectiveness of the ANN correction term is very apparent from the results in the figure.



Fig. 10. Magnitude of the real part the velocity vector in the top xy surface of the domain for the numerical example. Results of the excitation frequency training for the ABH plate.



**Fig. 11.** Real (a) and imaginary (b) parts of the *z*-component of the velocity for the coarse, fine and trained cases over a line joining the points (0, -0.5, 0) and (0, 0.5, 0). Results of the frequency  $\omega$  training for the ABH plate.

## 5. Conclusions

This paper is based on two numerical pillars, namely, the mixed stabilized FEM approximation of the elasticity equations written in the frequency domain [10] and the introduction of ANN correction terms to enhance the FEM solution of elastodynamics when solved on coarse meshes [11].

We have started by presenting irreducible and mixed velocity–stress strong formulations for linear elastodynamic problems and their variational counterparts. The latter have been discretized using the FEM and a stabilized VMS-based formulation has been proposed for their primal and dual versions in order to use equal interpolation for the velocity and stress fields. An ANN correction term has been designed that results in a nonlinear algebraic matrix system for the discrete unknowns of the problem, so that once a training phase with fine meshes and several sets of parameter values has been completed, it is able to properly solve an elastodynamic problem on a coarse mesh.

As examples of application, we have considered the vibrations of ABH beams and plates. Since ABHs have a very small residual thickness and the wavelength of impinging waves decreases as they approach it, very fine meshes are needed to compute their vibration under external harmonic loading. A first example consisting of an ABH termination in a 2D beam has been addressed. The ANN has been trained on fine meshes for three sets of parameters involving variations of the order of the ABH, its residual thickness

and the frequency of the external loading. When solving the vibration of the ABH beam on a coarse mesh in the execution phase, for a previously untested parameter, significant magnitude and phase errors are encountered unless the ANN correction term is applied. The ANN solutions show a close resemblance to those calculated on fine meshes for both the irreducible and mixed primal (VFI) formulations (the dual one has not been used in the examples for the sake of brevity). As a second example, we have considered wave propagation in a 3D plate with an embedded circular ABH. Tests have been performed by again varying the order of the ABH, the residual thickness and the excitation frequency. Again, the ANN correction has shown significant enhancement of the solution in the execution phase, when computing the ABH plate response under external excitation for untested parameters on coarse meshes. However, the improvements for the ABH plate are, in general, not as good as for the ABH beam. The improvement index, which has been defined to determine the effect of the ANN correction for both the ABH beam and ABH plate, is in fact higher for the velocity field than for the stress field. This is logical since the VFI presents more regularity for the former than for the latter.

In the application of the formulations proposed in [10,11] to ABHs, new developments have been carried and new conclusions have been drawn. Regarding the mixed stabilized velocity-stress formulation, we have shown that it indeed performs well to approximate vibrational problems of practical interest in the frequency domain. However, the most important point is that it has allowed us to avoid the problem that we have identified as frequency locking. We believe that the identification of this type of locking and the observation that it is solved by the mixed velocity-stress formulation are important findings of this work.

Regarding the correction strategy based on ANNs, we have extended it from the scalar wave equation to the mixed form of elastic waves, which implies passing from 2 variables to train to 18 in 3D. We have also shown that it works when the configurations involve changes in the geometry of the computational domain (in our case, the parameters of the ABH), while in [11] we applied it to correcting coarse models with different loading conditions. This suggests that this correction technique could be applied to shape optimization problems.

To date, ABHs have been designed mainly for beams, thin flat plates and cylindrical shells showing great potential for vibration and noise reduction. If they are to be embedded in more complex structures, it will be necessary to find finite element methods that can represent their behavior with relatively coarse meshes to lighten the computational cost. This work should be considered a first step towards that goal.

## CRediT authorship contribution statement

**Arnau Fabra:** Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Conceptualization. **Oriol Guasch:** Writing – review & editing, Writing – original draft, Visualization, Validation, Methodology, Investigation, Formal analysis, Conceptualization. **Joan Baiges:** Writing – review & editing, Validation, Software, Methodology, Investigation, Formal analysis, Conceptualization. **Ramon Codina:** Writing – review & editing, Visualization, Validation, Methodology, Investigation, Formal analysis, Conceptualization.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

No data was used for the research described in the article.

## Declaration of Generative AI and AI-assisted technologies in the writing process

During the preparation of this work the authors used DeepL in order to improve the English grammar of the manuscript. After using DeepL, the authors reviewed and edited the content as needed and take full responsibility for the content of the publication.

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#### A. Fabra et al.

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